

Before Starting the Project Application

To ensure that the Project Application is completed accurately, ALL project applicants should review the following information BEFORE beginning the application.

Things to Remember:

- Only Collaborative Applicants may apply for CoC Planning funds using this application, and only one CoC Planning application may be submitted during the Special Notice of Funding Opportunity (NOFO) to Address Unsheltered and Rural Homelessness (Special NOFO) competition. Additionally, only Collaborative Applicants applying for Unsheltered Homelessness Set Aside funds may apply for CoC Planning funds.
- Additional training resources can be found on the HUD.gov at https://www.hud.gov/program_offices/comm_planning/coc.
- Questions regarding the Special NOFO Competition process must be submitted to SpecialCoCNOFO@hud.gov.
- Questions related to e-snaps functionality (e.g., password lockout, access to user's application account, updating Applicant Profile) must be submitted to e-snaps@hud.gov.
- Project applicants are required to have a Unique Entity Identifier (UEI) number and an active registration in the Central Contractor Registration (CCR)/System for Award Management (SAM) in order to apply for funding under Special NOFO. For more information see the Special NOFO.
- To ensure that applications are considered for funding, applicants should read all sections of the Special NOFO.
- Detailed instructions can be found on the left menu within e-snaps and on the HUD Exchange. They contain comprehensive instructions and should be used in tandem with the navigational guides, which are also found on the HUD Exchange.
- Before completing the project application, all project applicants must complete or update (as applicable) the Project Applicant Profile in e-snaps, particularly the Authorized Representative and Alternate Representative forms as HUD uses this information to contact you if additional information is required (e.g., allowable technical deficiency).
- HUD reserves the right to reduce or reject any new project that fails to adhere to 24 CFR Part 578 and application requirements set forth in the Special NOFO.

1A. SF-424 Application Type

1. Type of Submission:

2. Type of Application: CoC Planning Project Application

If Revision, select appropriate letter(s):

If "Other", specify:

3. Date Received: 10/13/2022

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier

6. Date Received by State:

7. State Application Identifier:

1B. SF-424 Legal Applicant

8. Applicant

a. Legal Name: County of Santa Cruz

b. Employer/Taxpayer Identification Number (EIN/TIN): 94-6000534

c. UEI: KJLUAL4A7H64

d. Address

Street 1: 1000 Emeline Avenue

Street 2:

City: Santa Cruz

County: Santa Cruz

State: California

Country: United States

Zip / Postal Code: 95060

e. Organizational Unit (optional)

Department Name: Human Services Department

Division Name: Housing for Health Division

f. Name and contact information of person to be contacted on matters involving this application

Prefix: Mrs.

First Name: Sheryl

Middle Name:

Last Name: Norteye

Suffix:

Title:

Organizational Affiliation: County of Santa Cruz

Telephone Number: (831) 454-7329

Applicant: County of Santa Cruz

830197237

Project: CA-508 CoC Planning Unsheltered Homelessness Set Aside Project
Application FY2022

201003

Extension:

Fax Number: (831) 454-4642

Email: sheryl.norteye@santacruzcounty.us

1C. SF-424 Application Details

9. Type of Applicant: B. County Government

10. Name of Federal Agency: Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Title: CoC Program

CFDA Number: 14.267

12. Funding Opportunity Number: FR-6500-N-25S

Title: Continuum of Care Homeless Assistance
Competition

13. Competition Identification Number:

Title:

1D. SF-424 Congressional District(s)

14. Area(s) affected by the project (state(s) only): California
(for multiple selections hold CTRL+Key)

15. Descriptive Title of Applicant's Project: CA-508 CoC Planning Unsheltered Homelessness Set Aside Project Application
FY2022

16. Congressional District(s):

a. Applicant: CA-018, CA-020

b. Project: CA-018, CA-020

(for multiple selections hold CTRL+Key)

17. Proposed Project

a. Start Date: 06/01/2023

b. End Date: 05/31/2024

18. Estimated Funding (\$)

a. Federal:

b. Applicant:

c. State:

d. Local:

e. Other:

f. Program Income:

g. Total:

1E. SF-424 Compliance

19. Is the Application Subject to Review By State Executive Order 12372 Process? a. Yes

If "YES", enter the date this application was made available to the State for review: 09/02/2022

20. Is the Applicant delinquent on any Federal debt? No

If "YES," provide an explanation:

1F. SF-424 Declaration

By signing and submitting this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

I AGREE:

21. Authorized Representative

Prefix: Dr.

First Name: Robert

Middle Name:

Last Name: Ratner

Suffix: M.D.

Title:

Telephone Number: (831) 454-4925
(Format: 123-456-7890)

Fax Number: (831) 454-4642
(Format: 123-456-7890)

Email: robert.ratner@santacruzcounty.us

Signature of Authorized Representative: Considered signed upon submission in e-snaps.

Date Signed: 10/13/2022

1G. HUD 2880

Applicant/Recipient Disclosure/Update Report - form HUD-2880
U.S. Department of Housing and Urban Development
OMB Approval No. 2506-0214 (exp.02/28/2022)

Applicant/Recipient Information

1. Applicant/Recipient Name, Address, and Phone

Agency Legal Name: County of Santa Cruz
Prefix: Dr.
First Name: Robert
Middle Name:
Last Name: Ratner
Suffix: M.D.
Title: Director Housing for Health, Human Services
Department
Organizational Affiliation: County of Santa Cruz
Telephone Number: (831) 454-4925
Extension:
Email: robert.ratner@santacruzcounty.us
City: Santa Cruz
County: Santa Cruz
State: California
Country: United States
Zip/Postal Code: 95060

2. Employer ID Number (EIN): 94-6000534

3. HUD Program: Continuum of Care Program

4. Amount of HUD Assistance Requested/Received

4a. Total Amount Requested for this project: \$31,668
 (Requested amounts will be automatically entered within applications)

5. State the name and location (street address, city and state) of the project or activity: CA-508 CoC Planning Unsheltered Homelessness Set Aside Project Application FY2022 1000 Emeline Avenue Santa Cruz California

Refer to project name, addresses and CoC Project Identifying Number (PIN) entered into the attached project application.

Part I Threshold Determinations

1. Are you applying for assistance for a specific project or activity? Yes
 (For further information, see 24 CFR Sec. 4.3).

2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9. Yes

Part II Other Government Assistance Provided or Requested/Expected Sources and Use of Funds

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/Local Agency Name and Address	Type of Assistance	Amount Requested / Provided	Expected Uses of the Funds
N/A	N/A	\$0.00	N/A

Part III Interested Parties

You must disclose:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
2. any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	Social Security No. or Employee ID No.	Type of Participation	Financial Interest in Project/Activity (\$)	Financial Interest in Project/Activity (%)
N/A		N/A	\$0.00	0%

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional nondisclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that the information provided on this form and in any accompanying documentation is true and accurate. I acknowledge that making, presenting, submitting, or causing to be submitted a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.

I AGREE:

Name / Title of Authorized Official: Robert Ratner, Director Housing for Health, Human Services Department

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 10/13/2022

1H. HUD 50070

HUD 50070 Certification for a Drug Free Workplace

Applicant Name: County of Santa Cruz

Program/Activity Receiving Federal Grant Funding: CoC Program

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:	
a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.	e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
b. Establishing an on-going drug-free awareness program to inform employees — (1) The dangers of drug abuse in the workplace (2) The Applicant's policy of maintaining a drug-free workplace; (3) Any available drug counseling, rehabilitation, and employee assistance programs; and (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.	f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted — (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;	g. Making a good faith effort to continue to maintain a drugfree workplace through implementation of paragraphs a. thru f.
d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will — (1) Abide by the terms of the statement; and (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;	

Sites for Work Performance.

The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)
 Workplaces, including addresses, entered in the attached project application.
 Refer to addresses entered into the attached project application.

I certify that the information provided on this form and in any accompanying documentation is true and accurate. I acknowledge that making, presenting, submitting, or causing to be submitted a false, fictitious, or fraudulent statement, representation, or certification may result in criminal, civil, and/or administrative sanctions, including fines, penalties, and imprisonment.

X

WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties. (18 U.S.C. §§ 287, 1001, 1010, 1012; 31 U.S.C. §3729, 3802)

Authorized Representative

Prefix: Dr.

First Name: Robert

Middle Name

Last Name: Ratner

Suffix: M.D.

Title:

Telephone Number: (831) 454-4925
(Format: 123-456-7890)

Fax Number: (831) 454-4642
(Format: 123-456-7890)

Email: robert.ratner@santacruzcounty.us

Signature of Authorized Representative: Considered signed upon submission in e-snaps.

Date Signed: 10/13/2022

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate:

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Applicant's Organization: County of Santa Cruz

Name / Title of Authorized Official: Robert Ratner, Director Housing for Health, Human Services Department

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 10/13/2022

1J. SF-LLL

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352.
Approved by OMB0348-0046

HUD requires a new SF-LLL submitted with each annual CoC competition and completing this screen fulfills this requirement.

Answer "Yes" if your organization is engaged in lobbying associated with the CoC Program and answer the questions as they appear next on this screen. The requirement related to lobbying as explained in the SF-LLL instructions states: "The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action."

Answer "No" if your organization is NOT engaged in lobbying.

Does the recipient or subrecipient of this CoC grant participate in federal lobbying activities (lobbying a federal administration or congress) in connection with the CoC Program? No

Legal Name: County of Santa Cruz

Street 1: 1000 Emeline Avenue

Street 2:

City: Santa Cruz

County: Santa Cruz

State: California

Country: United States

Zip / Postal Code: 95060

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I certify that this information is true and complete.

Authorized Representative

Prefix: Dr.

First Name: Robert

Middle Name:

Last Name: Ratner

Suffix: M.D.

Title:

Telephone Number: (831) 454-4925
(Format: 123-456-7890)

Fax Number: (831) 454-4642
(Format: 123-456-7890)

Email: robert.ratner@santacruzcounty.us

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 10/13/2022

IK. SF-424B

(SF-424B) ASSURANCES - NON-CONSTRUCTION PROGRAMS

OMB Number: 4040-0007
Expiration Date: 02/28/2022

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- | | |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. | Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application. |
| 2. | Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives. |
| 3. | Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain. |
| 4. | Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency. |
| 5. | Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F). |
| 6. | Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism, (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application. |
| 7. | Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases. |

- 8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- 9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- 12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- 13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- 16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- 18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

As the duly authorized representative of the applicant, I certify:

X

Authorized Representative for: County of Santa Cruz

Prefix: Dr.

First Name: Robert

Middle Name:

Last Name: Ratner

Suffix: M.D.

Title:

Signature of Authorized Certifying Official: Considered signed upon submission in e-snaps.

Date Signed: 10/13/2022

2A. Project Detail

1. **CoC Number and Name:** CA-508 - Watsonville/Santa Cruz City & County CoC
2. **Collaborative Applicant Name:** County of Santa Cruz
3. **Project Name:** CA-508 CoC Planning Unsheltered Homelessness Set Aside Project Application FY2022
4. **Component Type:** CoC Planning Unsheltered Homelessness Set Aside Project Application

2B. Project Description

1. Provide a description that addresses the entire scope of the proposed project and how the Collaborative Applicant will use grant funds to comply with 24 CFR 578.7:

The Continuum of Care (CoC) planning grant requested will be used to support the special unsheltered activities of the CoC, known as Santa Cruz County Housing for Health Partnership (H4H Partnership). Overall H4H Partnership activities include, but are not limited to, outreach to stakeholders and coordination of the special process, review and evaluation of project APR and HMIS data and outcomes, assessment of project progress against project goals, participation in the State of California and City of Santa Cruz consolidated planning processes, carrying out the local CoC application process, carrying out the point-in-time count and housing inventory, and developing the local CoC system (including overseeing HMIS, planning and implementing coordinated entry, implementing our plan to address unsheltered homelessness, and coordinating State and local homelessness funding and programs).

The County of Santa Cruz Human Services Department, Housing for Health Division (HSD H4H) is the CoC’s Collaborative Applicant and homelessness lead entity in the county. In this capacity, the HSD has worked hard to organize the funding needed to carry out required CoC activities on behalf of the H4H Partnership. HSD H4H has managed to provide staffing for the CoC by sharing costs with other County departments and Cities within the CoC. This CoC planning grant will be used to increase the HSD H4H’s capacity (through increased staffing and consulting hours) to carry out additional special supplemental CoC activities and tasks beyond those covered by local funding. These will include more work on CoC coordination (such as increased outreach and increased planning activities to meet HEARTH Act governance requirements) and further efforts to develop the CoC system (including plan implementation to end unsheltered homelessness and planning for the coordinated entry improvements). Activities and tasks relating to CoC coordination and developing a CoC system are part of the 24 CFR 578.7 Responsibilities of the Continuum of Care. The H4H Partnership Policy Board (HUD-required governance board) and HSD management staff will oversee the project and ensure compliance.

2. Describe the estimated schedule for the proposed activities, the management plan, and the method for assuring effective and timely completion of all work.

The planning grant will begin in June 2023. Thereafter, the the H4H Policy Board will meet at least six times per year and more frequently if needed, the H4H Partnership general membership will meet twice a year, and committees and working groups (described below) will meet monthly to quarterly, depending upon needs. The agendas and work plan will include the CoC activities and topics described under the project scope above. Specific activities and topics will be handled when needed. For example, we expect that the H4H Partnership will do planning for the point-in-time count in January, will review housing inventory data in March, will review LSA data in December, and will evaluate project performance according to the CoC application process timeline. HMIS data quality and issues will be reviewed at least every two months. In addition, planning around implementing coordinated entry, coordination with the State of California ESG and other homeless programs, and implementing the unsheltered homelessness plan, will take considerable H4H and staff attention during the program year. Therefore, we anticipate regular meetings in 2023-24 relating to work on HMIS and data, and performance measurement; coordinated entry and systems right sizing; federal and state funding; unsheltered plan implementation: CoC policy and standards development; communications and transparency; and the full range of housing and service types for all populations experiencing homelessness.

The management plan and method for ensuring timely completion of work will be as follows. The H4H staff will develop a series of 6-month work plans and timelines for CoC activities. The H4H Policy Board will review progress periodically, as will the County and City jurisdictions that contribute funding to CoC activities.

3. How will the requested funds improve or maintain the CoC's ability to evaluate the outcome of CoC and ESG projects?

In the 2023-24 program year, the funding requested will increase the HSD H4H's capacity in a variety of ways to monitor and evaluate both CoC and ESG program outcomes as follows:

- HMIS data quality will be regularly reviewed and improved, thereby enhancing HMIS as a tool for tracking project outcomes;
- The H4H Partnership will use HMIS-based performance data and project-specific performance goals in rating and ranking both CoC and ESG projects requesting funding;
- H4H staff (as part of the annual funding process) will assess each CoC and ESG project and provide suggestions and technical assistance for improvement;
- The H4H Partnership will track the HEARTH Act community-wide performance measures, as well as strategic homelessness plan performance outcomes that are relevant to CoC and ESG projects; and
- Coordinated entry participation is required of CoC and ESG projects, improving the CoC's ability to track each homeless person's progress and outcomes from the point of CoC system entry through placement and stabilization in permanent housing.

3A. Governance and Operations

1. How often does the CoC conduct meetings of the full CoC membership? Semi-Annually

2. Does the CoC include membership of a homeless or formerly homeless person? Yes

2a. For members who are homeless or formerly homeless, what role do they play in the CoC membership? (Select all that apply)

Participates in CoC meetings:	<input checked="" type="checkbox"/>
Votes, including electing Coc Board:	<input checked="" type="checkbox"/>
Sits on CoC Board:	<input checked="" type="checkbox"/>
None:	<input type="checkbox"/>

3. Does the CoC's governance charter incorporate written policies and procedures for each of the following

3a. Written agendas of CoC meetings? Yes

3b. Coordinated Entry? (Also known as centralized or coordinated assessment) Yes

3c. Process for monitoring outcomes of ESG recipients? Yes

3d. CoC policies and procedures? Yes

3e. Written process for board selection? Yes

3f. Code of Conduct for board members that includes a recusal process? Yes

3g. Written standards for administering assistance? Yes

4. Were there any written complaints received by the CoC in relation to project review, project selection, or other items related to 24 CFR 578.7 or 578.9 within the past 12 months? No

3B. Committees

Provide information for up to five of the most active CoC-wide planning committees, subcommittees and/or workgroups, to address homeless needs in the CoC's geographic area that recommend and set policy priorities for the CoC, including a brief description of the role and the frequency of the meetings. Only include committees, subcommittees and/or workgroups, that are directly involved in CoC-wide planning and not the regular delivery of services.

Name of Group	Role of the Group (max 750 characters)	Meeting Frequency	Name of Individuals and/or Organizations Represented
Housing for Health Partnership Membership	Serves as a CoC-wide common forum with responsibility for providing stakeholder input to the H4H Policy Board on key items as requested; selects designated Operational Committee seats on the H4H Policy Board; conducts an annual feedback survey on efforts of the H4H Partnership; supports community education and outreach on the causes of and solutions to homelessness; and identifies volunteers for the annual PIT count and other data gathering.	Semi-Annually	FIT, HSC, PVSS, CAB, CTA, Sienna House, Monarch, Salv. Army, United Way, Smart Sol., WLC, Housing Auth., Office Educ., Encompass, CCILC, Dignity Health, ASR, Front St., Midpen, VA, VRC, County Depts & Cities, Homeless Member, Bill Wilson Cent., AFC
Housing for Health Partnership Policy Board	Oversees and acts on behalf of the full H4H Partnership membership; creates and adopts standards, policies and procedures, equity goals and community-level plans for addressing homelessness; reviews and approves six-month work plans; approves applications for CoC, ESG, and State funding; designates the Collaborative Applicant, HMIS lead, and CES lead; designates project review and ranking committees; ensures coordination with ESG recipients and Con Plan jurisdictions.	Bi-Monthly	Santa Cruz County; Cities of Santa Cruz, Watsonville, and Scotts Valley; Health, Workforce/Foundation, and Education sectors; individual with lived experience; Operational Committee representatives
Systems Operations, Data and Evaluation Committee	Carries out overall systems operational planning and makes recommendations to the H4H Policy Board; develops standards, policies and procedures, and HUD compliance for CES, HMIS, and key program components of the CoC system; oversees and evaluates the CES project; establishes performance measures and evaluates system and program performance; develops HMIS privacy, security, and data quality plans; ensures consistent participation in HMIS; conducts the annual PIT count and housing inventory; and produces regular reports for leaders, funders, and community.	Monthly	Representatives of organizations serving homeless populations: substance use disorders, HIV/AIDS, veterans, chronically homeless, families with children, unaccompanied youth, seriously mentally ill, and victims of domestic violence
Lived Experience and Youth Advisory Working Groups	Each working group provides recommendations and advice to the H4H Policy Board and Operational Committees on system operations, data, evaluation, and any other topics relevant to improvement of the community's response to homelessness. The Youth Advisory group serves as the YHDP-required youth advisory board.	Bi-Monthly	Lived experience: persons who are experiencing or have recently experienced homelessness; Youth advisory: persons up to age 24 who are experiencing or have recently experienced homelessness

Cross Jurisdictional Finance Working Group	Identifies and coordinates funding and policy responses among county departments, cities, and private funders; assists the H4H Policy Board in creating review and ranking committees; coordinates with and advises the H4H Policy Board on other funding sources; supports coordination with partner system of care; and seeks funding and resources for addressing homelessness.	Quarterly	Cities of Santa Cruz, Watsonville, Scotts Valley, and Capitola; County Departments of Planning, Health, and Human Services; County Administrator's Office; Housing Authority; others as needed
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4A. Sources of Match

The following list summarizes the funds that will be used as Match for the project. To add a Matching source to the list, select the  icon. To view or update a Matching source already listed, select the  icon.

Summary for Match

Total Amount of Cash Commitments:	\$7,917
Total Amount of In-Kind Commitments:	\$0
Total Amount of All Commitments:	\$7,917

1. Will this project generate program income described in 24 CFR 578.97 to use as Match for this project? No

Type	Source	Contributor	Amount of Commitments
Cash	Government	County of Santa Cruz	\$7,917

Sources of Match Details

1. **Type of Match Commitment:** Cash

2. **Source:** Government

3. **Name of source:** County of Santa Cruz

(Be as specific as possible and include the office
or grant program as applicable)

4. **Amount of Written Commitment:** \$7,917

4B. Funding Request

1. Will it be feasible for the project to be under grant agreement by September 15, 2024? Yes

2. Does this project propose to allocate funds according to an indirect cost rate? No

3. Select a grant term: 3 Years

A description must be entered for Quantity. Any costs without a Quantity description will be removed from the budget.

Eligible Costs:	Quantity AND Description (max 400 characters)	Annual Assistance Requested (Applicant)
1. Coordination Activities	.1 FTE @ \$105,000 Project Manager (CoC) + benefits. Activities for developing a CoC system including, unsheltered and lived experience planning activities, development of HEARTH Act compliant programs standards and documentation, development of HEARTH and other community performance measures, and implementation of a coordinated entry system.	\$10,556
2. Project Evaluation		
3. Project Monitoring Activities		
4. Participation in the Consolidated Plan		
5. CoC Application Activities		
6. Determining Geographical Area to Be Served by the CoC		
7. Developing a CoC System		
8. HUD Compliance Activities		
Total Costs Requested (Total Annual assistance multiplied by 3 years)		\$31,668
Cash Match		\$7,917
In-Kind Match		\$0
Total Match		\$7,917
Total Budget (Match + 3 year total costs budget)		\$39,585

Click the 'Save' button to automatically calculate the Total Assistance

5A. Attachment(s)

Document Type	Required?	Document Description	Date Attached
1. Other Attachment(s)	No		
2. Other Attachment(s)	No		

Attachment Details

Document Description:

Attachment Details

Document Description:

5B. Certification

A. For all projects:

Fair Housing and Equal Opportunity

It will comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000(d)) and regulations pursuant thereto (Title 24 CFR part I), which state that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance, and will immediately take any measures necessary to effectuate this agreement. With reference to the real property and structure(s) thereon which are provided or improved with the aid of Federal financial assistance extended to the applicant, this assurance shall obligate the applicant, or in the case of any transfer, transferee, for the period during which the real property and structure(s) are used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits.

It will comply with the Fair Housing Act (42 U.S.C. 3601-19), as amended, and with implementing regulations at 24 CFR part 100, which prohibit discrimination in housing on the basis of race, color, religion, sex, disability, familial status or national origin.

It will comply with Executive Order 11063 on Equal Opportunity in Housing and with implementing regulations at 24 CFR Part 107 which prohibit discrimination because of race, color, creed, sex or national origin in housing and related facilities provided with Federal financial assistance.

It will comply with Executive Order 11246 and all regulations pursuant thereto (41 CFR Chapter 60-1), which state that no person shall be discriminated against on the basis of race, color, religion, sex or national origin in all phases of employment during the performance of Federal contracts and shall take affirmative action to ensure equal employment opportunity. The applicant will incorporate, or cause to be incorporated, into any contract for construction work as defined in Section 130.5 of HUD regulations the equal opportunity clause required by Section 130.15(b) of the HUD regulations.

It will comply with Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C. 1701(u)), and regulations pursuant thereto (24 CFR Part 135), which require that to the greatest extent feasible opportunities for training and employment be given to lower-income residents of the project and contracts for work in connection with the project be awarded in substantial part to persons residing in the area of the project.

It will comply with Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), as amended, and with implementing regulations at 24 CFR Part 8, which prohibit discrimination based on disability in Federally-assisted and conducted programs and activities.

It will comply with the Age Discrimination Act of 1975 (42 U.S.C. 6101-07), as amended, and implementing regulations at 24 CFR Part 146, which prohibit discrimination because of age in projects and activities receiving Federal financial assistance.

It will comply with Executive Orders 11625, 12432, and 12138, which state that program participants shall take affirmative action to encourage participation by businesses owned and operated by members of minority groups and women.

If persons of any particular race, color, religion, sex, age, national origin, familial status, or disability who may qualify for assistance are unlikely to be reached, it will establish additional procedures to ensure that interested persons can obtain information concerning the assistance.

It will comply with the reasonable modification and accommodation requirements and, as appropriate, the accessibility requirements of the Fair Housing Act and section 504 of the Rehabilitation Act of 1973, as amended.

1-Year Operation Rule.

For applicants receiving assistance for CoC planning: the project will be operated for the purpose specified in the application for any year for which such assistance is provided.

D. Explanation.

Where the applicant is unable to certify to any of the statements in this certification, such applicant shall attach an explanation behind this page.

Name of Authorized Certifying Official: Robert Ratner

Date: 10/13/2022

Title:

Applicant Organization: County of Santa Cruz

PHA Number (For PHA Applicants Only):

I certify that I have been duly authorized by the applicant to submit this Applicant Certification and to ensure compliance. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties . (U.S. Code, Title 218, Section 1001).

X

6A. Submission Summary

Page	Last Updated
1A. SF-424 Application Type	No Input Required
1B. SF-424 Legal Applicant	09/02/2022
1C. SF-424 Application Details	No Input Required
1D. SF-424 Congressional District(s)	10/10/2022
1E. SF-424 Compliance	10/10/2022
1F. SF-424 Declaration	09/02/2022
1G. HUD 2880	09/02/2022
1H. HUD 50070	09/02/2022
1I. Cert. Lobbying	09/02/2022

1J. SF-LLL	09/02/2022
IK. SF-424B	09/02/2022
2A. Project Detail	09/02/2022
2B. Description	10/10/2022
3A. Governance and Operations	10/10/2022
3B. Committees	10/07/2022
4A. Match	10/07/2022
4B. Funding Request	10/07/2022
5A. Attachment(s)	No Input Required
5B. Certification	10/07/2022